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PTO/SB/05 (11-00)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. MAR37 P-314A
First Inventor Lars Eric Sundstrom
Title NEUROPROTECTIVE AGENTS
Express Mail Label No. EL420894255US

22390 U.S. PTO
10/7/19599



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 58]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Auth. To Charge Dep. Acct. (in duplicate); return postcard

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09 / 581,397

Prior application information:

Examiner David Lukton

Group Art Unit: 1653

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Gunther J. Evanina

Registration No. (Attorney/Agent)

35 502

Signature

Gunther J. Evanina

Date

11/20/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Atty. Docket No. MAR37 P-314A
Express Mail No. EL420894255US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lars Eric Sundstrom, Fausto Iannotti, Mark Bradley, and Ashley Ker Pringle

For : NEUROPROTECTIVE AGENTS

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

FEE TRANSMITTAL FORM

I. Fee Calculation (37 CFR §1.16):

Number Filed	Number Extra		Rate	Basic Fee
			\$770.00	\$770.00
Total Claims: 43 - 20 =	23	X	\$18.00	\$414.00
Independent Claims: 3- 3 =	0	X	\$86.00	\$0.00
Multiple Dependent Claims (if applicable):	0	+	\$290.00	\$0.00
Filing Fee Calculation:				\$1884.00
Reduction by 50% for filing by small entity:				\$592.00
Total:				\$592.00

II. Fee Payment Being Made at This Time:

Attached is the filing fee in the amount of: \$592.00

Total fees enclosed: \$592.00

Attached is a check in the amount of: \$592.00

Applicants : Lars Eric Sundstrom, Fausto Iannotti, Mark Bradley, and Ashley Ker Pringle
For : NEUROPROTECTIVE AGENTS
Page : 2

III. Authorization to Charge Additional Fees:

The Commissioner is hereby authorized to charge the following additional fees which may be required by this paper and during the entire pendency of this application or credit any overpayment to Account No. 16-2463, including 37 CFR §§1.16(a), (f) or (g) (filing fees); 37 CFR §§1.16(b), (c) and (d) (presentation of extra claims); and 37 CFR §§1.17 (application processing fees). A duplicate of this sheet is attached.

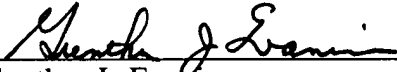
Respectfully submitted,

LARS ERIC SUNDSTROM ET AL.

By: Price, Heneveld, Cooper,
DeWitt & Litton

November 20, 2003

Date



Gunther J. Evanina
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lars Eric Sundstrom, Fausto Iannotti, Mark Bradley, and Ashley Ker Pringle

For : NEUROPROTECTIVE AGENTS

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application, or credit any overpayment to Deposit Account No. 16-2463.

- 1) Any filing fees required under 37 C.F.R. §1.16 for which full payment has not been tendered.
- 2) Any patent application processing fees under 37 C.F.R. §1.17 for which full payment has not been tendered.
- 3) Any assignment recording fee under 37 C.F.R. §1.21 for which payment has not been tendered.

Pursuant to 37 C.F.R. §1.136(a)(3), the Commissioner is hereby authorized to treat any concurrent or future reply for this application that requires an extension of time as incorporating a request therefor. Any request or petition for an extension of time should be treated as requesting the appropriate length of time notwithstanding an inadvertent reference in the petition to a shorter period of time. A duplicate of this sheet is enclosed.

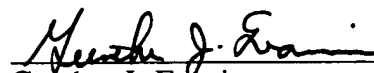
Respectfully submitted,

LARS ERIC SUNDSTROM ET AL.

By: Price, Heneveld, Cooper,
DeWitt & Litton

November 20, 2003
Date

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